

# Ethics in the H2020 program

José Luis Molina

President of the UAB Ethics Committee

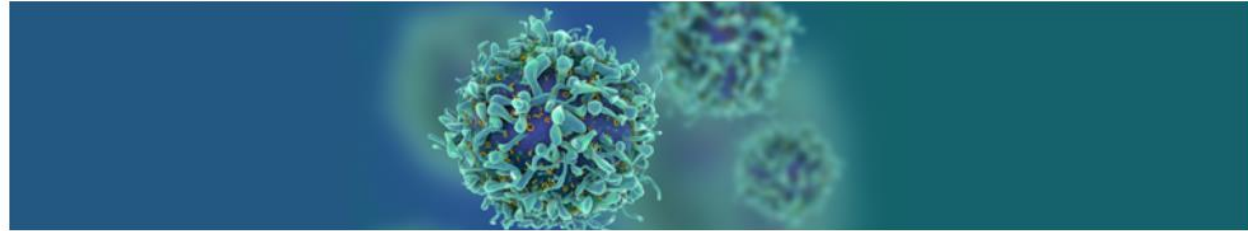
# Ethics Committee on Animal and Human Experimentation at the UAB

**UAB** Universitat Autònoma de Barcelona

Animal experimentation | Designated Body | Human research | Research ethics | Training

## CEEAH

Ethics Committee on Animal and Human Experimentation



Ethics Committee on Animal and Human Experimentation

**ISQÜIMEN** COMISSIÓ PER LA CAPACITACIÓ DEL PERSONAL DOCENT D'ANIMALS D'EXPERIMENTACIÓ (CIEA)

Objectius i Objectes:  
 Com a personal docent, el personal de l'Institut de Recerca Experimental i el personal de l'Institut de Recerca en Ciències de la Salut, han de tenir una formació adequada en l'ètica de l'experimentació animal i humana.

Missió de la Comissió d'Ètica en l'Experimentació Animal i Humana (CEEAH):  
 Promoure i garantir la correcta aplicació de l'ètica de l'experimentació animal i humana.

Funcions:  
 - Promoure i garantir la correcta aplicació de l'ètica de l'experimentació animal i humana.  
 - Realitzar cursos de formació i actualització del personal docent.  
 - Realitzar cursos de formació i actualització del personal de l'Institut de Recerca Experimental i el personal de l'Institut de Recerca en Ciències de la Salut.

Composició del personal:  
 - Presidència: Dr. Josep M. Castell (IIB) (2014-2016)  
 - Vicepresidència: Dr. Josep M. Castell (IIB) (2014-2016)  
 - Membre: Dr. Josep M. Castell (IIB) (2014-2016)  
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Horari d'atenció:  
 - Horari: de 9h a 18h, de dilluns a divendres.  
 - Adreça: Institut de Recerca Experimental, Edifici 10, 08193 Bellaterra (Barcelona).

Informació de contacte:  
 - Telèfon: 93 581 3578.  
 - Correu: ceeah@uab.cat.



[Read more](#)



CEEAH



Animal experimentation



Human research

Comissió d'Ètica en l'Experimentació Animal i Humana de la UAB

Rectorat (Edifici A)  
 Telephone: 93 581 3578  
 ceeah@uab.cat



Research ethics



Training and advisory

# Ethics governance, research ethics, research integrity, H2020 ethics self- evaluations...

- **Ethics governance:**

- **Research Ethics Committees** (RECs, Institutional Review Boards, IRB at the USA). Research projects that involve experimentation with animals, humans, personal data, children, vulnerable populations ...
- National/European legislation (animal welfare, personal data protection ...).
- Professional/sectorial Associations or bodies.

# Ethics governance, research ethics, research integrity, H2020 ethics self- evaluations...

- **Research ethics**

- Scientific values & social values presents in  
**Research Good Practices Codes.**
- [UAB CGRP](#)

- **Research integrity**
  - scientific research
  - four conditions
    - Reliability, Honesty, Integrity, Accountability.
    - “Fabrication, Falsification, Plagiarism” (FFP)
    - “Questionable Research Practices” (QRP) 5-10%

\*B. C. Martinson, M. S. Anderson, and  
435, no. 7043, pp. 737–738, Jun. 2001



**integrity** | in'

## The European Code of Conduct for Research Integrity

REVISED EDITION

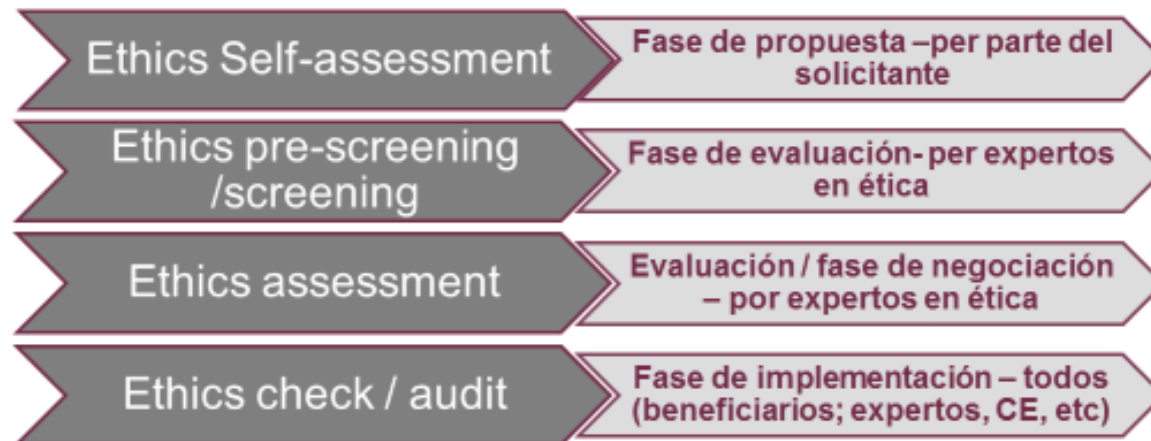
## UAB CGPR: ETHOS

- For its own activities the UAB is inspired by principles of **freedom, democracy, justice, equality** and **solidarity** (UAB Statutes).
- This commitment therefore involves directing:
  - the teaching
  - the research
  - all the university activities towards a culture of:
    - Peace.
    - respect for human rights.
    - social progress.
    - respect for the environment and sustainable development.
    - explicit rejection of research for military ends.

# H2020 Ethics assesment



## Procedimiento de aproximación a los aspectos éticos en *Horizon 2020*:



- Sin ética no hay RRI
- La ética es un precursor de la responsabilidad.

Slide taken from Aida Díaz-Gijón, “La RRI en las convocatorias europeas de H2020” presentation under permission.

# H2020 proposal: Part A

Proposal ID

Acronym

Go to

## 4 - Ethics issues table

The applicable legislation is the Horizon2020 legislative acts (i) (see legal references at the end of the section).

This Table should be completed as an essential part of your proposal. Answer "Yes" or "No" to all of the questions below. If an answer is "Yes", then indicate in the adjacent box at which page in your full proposal further information relating to that issue can be found.

For further explanation on the questions see "[How to Complete your Ethics Self-Assessment](#)" or, for ERC calls, the "Information for applicants" ([Annex 3 - Specific Guidance Related to Ethics](#)).

As explained in these documents, please note that if you answer YES to any of the questions below, you are requested to provide additional information and documentation.

1. HUMAN EMBRYOS/FOETUSES		Page
Does your research involve <a href="#">Human Embryonic Stem Cells (hESCs)</a> ?	<input checked="" type="radio"/> Yes <input type="radio"/> No	
Will they be directly derived from embryos within this project?	<input checked="" type="radio"/> Yes <input type="radio"/> No	
Are they previously established cells lines?	<input checked="" type="radio"/> Yes <input type="radio"/> No	
Does your research involve the use of human embryos?	<input checked="" type="radio"/> Yes <input type="radio"/> No	
Does your research involve the use of human foetal tissues / cells?	<input checked="" type="radio"/> Yes <input type="radio"/> No	
2. HUMANS		Page



## REC ethics approval is compulsory when ...

- Experimentation with **animals**.
- Experimentation with **humans** (informed consent and right to opt out).
- **Biological** samples that imply risk.
- **Personal data**, specially from children, and vulnerable populations.
- **Third countries** personal data exchange.
- ...

## **H2020 Ethics check/audit**

- Recommendation: **Internal Ethics Expert/Ethics Advisory Board.**
- **Audits by the H2020 Ethics Committee.**

## **H2020/ERC Ethics Committees main concerns**

**1. NON-EU countries.**

**2. Incidental Findings.**

**3. Data Management Plan:**

- Safety measures at the UAB.
- Data protection impact assessment

“(c) a systematic monitoring of a  
publicly accessible area on a large scale”

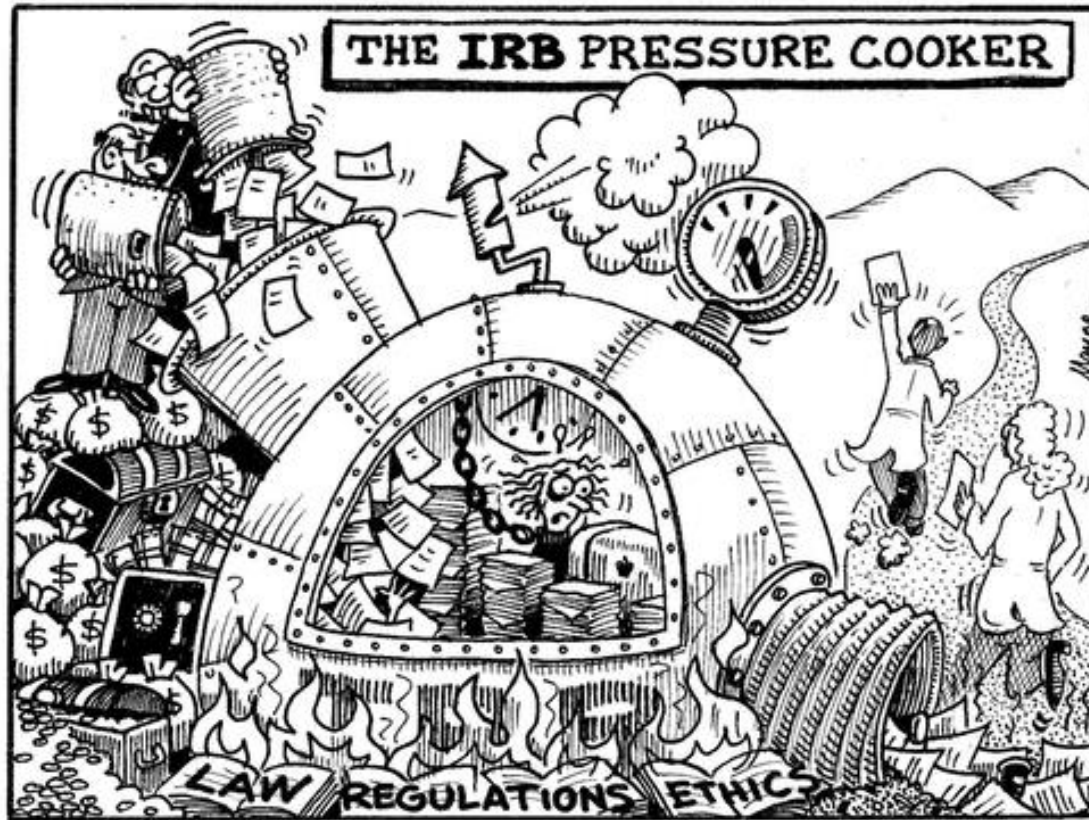
## **H2020/ERC Ethics Committees main concerns**

### **3. Data Management Plan (cont.):**

- Risk analysis & risk mitigation strategy.
- Retention and destruction.

**4. Subcontracting** (e.g. “Details of the measures adopted to ensure that local subcontractors comply with H2020 ethics and data protection requirements must be provided”).

Just kidding 😊

Cartoon by Don Mayne [www.researchcartoons.com](http://www.researchcartoons.com)

Thank you for your attention!

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