

## **Regulations of the Doctorate Program in Bioinformatics for the Presentation of Theses by Compendium of Publications**

### **Preamble**

The Academic Commission of the Doctorate Program (CAPD) in Bioinformatics of the UAB, the UPC, the UdG, the UdL, the UOC, and the UVic-UCC elaborates and approves the present regulations on April 23, 2021. On May 4, 2022 this regulation is ratified by the Academic Commission of the new Doctorate Program in Bioinformatics, which incorporates the URV and the UB.

### **Article 1. Object and scope**

These regulations establish the aspects to be assessed in order to resolve an application to submit a doctoral thesis in the form of a compendium of publications. Article 2. of these regulations is applicable in all cases and therefore prevails over the possible specific regulations of the participating universities. On the other hand, the possible specific regulations of the participating universities prevail over the other articles of these regulations.

### **Article 2. Requirements for the presentation of a thesis by compendium of publications**

- 1) Publications that are presented as part of the thesis cannot be presented as part of other doctoral theses.
- 2) Publications must be a direct consequence of the work done within the doctoral program. Publications that are the result of work carried out during the master's degree or professional activities of the doctoral student prior to enrollment are excluded, regardless of the date of publication.
- 3) At the time of requesting the presentation of the thesis in this format, the papers must be published or have been definitively accepted for publication.
- 4) The doctoral student must appear as the first author or be able to prove that he / she is the main author of a sufficiently significant part of each work.
- 5) The doctoral student must have a minimum of three publications that meet the above points, two of them in journals indexed in the Journal Citation Reports and classified within the Q1 or Q2 quartile of their area.



In any case, the final decision on whether the thesis can be presented as a compendium of publications or not is made by the CAPD.

### **Article 3. Application**

The doctoral student must send a motivated request to the CAPD, providing the following information:

- 1) List of articles, indicating authors, title, publication data (journal, volume, pages, year) and journal data (area, quartile, impact factor).
- 2) Authorization of the thesis director for the presentation of the thesis as a compendium of publications.
- 3) Justification of the doctoral student's contribution to each of the publications that form part of the compendium.
- 4) The written permission of the potential co-authors of the publications, other than the doctoral student and the director, for the doctoral student to present them in a thesis as a compendium.
- 5) The written waiver of potential co-authors of the publications, other than the doctoral student, to present them as part of another doctoral thesis.

### **Article 4. Assessment and Resolution**

The CAPD will decide on the suitability of the presentation of the thesis as a compendium of publications. The favorable resolution may be for all or part of the publications submitted. In the latter case, the works that can be included in the compendium will be indicated. In the event that the resolution is unfavorable, the CAPD will attach the reasons for its decision to the resolution.

The CAPD may delegate to the Program Coordinator the verification of the veracity of the data and the acceptance of the application, if applicable, indicating the works that may be included in the compendium. It may also appoint a member of the CAPD or an external reviewer who is an expert in the subject to assess the suitability of the thesis presentation as a compendium of publications.

The written resolution will be communicated to the student within 10 school days of its presentation.

### **Article 5. Preparation of the dissertation manuscript**



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The dissertation manuscript must include those sections that apply to all dissertations in the academic regulations of the doctoral studies of each university. As distinguishing requirements, theses by compendium of publications must include:

- 1) The phrase "Thesis by compendium of publications" in a visible place on the cover.
- 2) Within the introduction, the discussion of the thematic unity of the publications included in the thesis and, if applicable, of the parts of said publications that correspond to the work carried out by the doctoral student.
- 3) Full copy of the final published version of the articles that are part of the compendium, in the format of the journal if one has the rights of reproduction or in another format otherwise, or of the accepted version in cases where the article has not been published at the time of deposit.
- 4) A section of global discussion of the results of the different publications.

#### **Article 6. Thesis deposit**

The deposit of the thesis will be done following the standard procedure established in the regulations of the doctoral studies of each university.

#### **Article 7. First additional provision: Interpretation and regulatory development**

The CAPD is the body responsible for the application of these regulations as well as their updating in accordance with the academic regulations of current doctoral studies and the competent university bodies.

#### **Article 8. Second additional provision: Composition of the panel**

In no case may the co-authors be part of the thesis panel.

#### **Article 9. Single final provision: entry into force**

These regulations come into force on the day of their approval by the CAPD or the competent university body, as established in each case.