

**THESIS AS A COMPENDIUM OF PUBLICATIONS**

The Academic Committee of the Doctoral Program in Philosophy (CAPD) establishes the following requirements for submitting a thesis as a compendium of publications:

1. The doctoral thesis must include the following sections:
  - 1.1 A methodological chapter providing coherence to the compendium, with a minimum length of 15 pages. The methodological chapter must include the following sections:
    - 1.1.1 Description of the objectives achieved through the publications.
    - 1.1.2 Presentation and justification of the thesis put forward through the publications.
    - 1.1.3 Explanation of the scientific contribution of each of the publications accepted or published after six months the start date of the doctoral program, according to the following options. One of the following options may be chosen:
      - a) Two single-authored articles in high-impact journals (Q1) according to recognized indexes such as Scopus, Web of Science or similars.
      - b) Three single-author contributions in high-impact journals, one of which must be a Q1 or Q2 article, and the other two may be Q1 or Q2 articles or book chapters in academic publishers with peer review, subject to prior authorization from the CAPD. Quartile impact will be measured according to the fields of philosophy, humanities, or multidisciplinary studies, and the suitability of the field will be evaluated by the CAPD. Journal evaluation will rely on the indexes Scopus, Web of Science or similars. For book chapters, indicators of quality such as SPI and ICEE will be considered. Following UAB academic regulations, article 330, all articles and publications must include the UAB through the doctoral candidate's affiliation, or alternatively in the acknowledgements or in a footnote with the statement: 'This work was carried out within the framework of the PhD program in Philosophy at the Autonomous University of Barcelona'.
  - 1.2 A global discussion of the results obtained, with a minimum length of 15 pages.
  - 1.3 A presentation of the conclusions.
  - 1.4 A complete list of references.
  - 1.5 Attachment of the articles / book chapters and any appendices deemed appropriate may also be included.
2. The cover page of the dissertation must include:
  - 2.1 An indication that it is a doctoral thesis

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- 2.2 Title and subtitle, if applicable
- 2.3 Author
- 2.4 Supervisor
- 2.5 Academic tutor
- 2.6 Name of the doctoral program
- 2.7 Department, institute, or research center
- 2.8 University
- 2.9 Year of submission (deposit) of the dissertation

3. The procedure for authorization is as follows: the doctoral candidate must indicate the intention to pursue this modality in the **second supervision progress report**. In addition to the supervisor's report, the student must provide a brief justification for choosing this modality and include a list of potential journals and publishers. Articles published in predatory journals will not be accepted. The Academic Committee of the Doctoral Program in Philosophy will evaluate, on a case-by-case basis, the quality of the journals, taking into account the research line in which the dissertation is presented.

4. The application for a thesis as a compendium of publications must be submitted **no later than one month before the thesis deposit** to the Academic Committee of the Doctoral Program in Philosophy, which will issue the corresponding report. If the decision is unfavorable, the dissertation may only be deposited after the modifications requested by the CAPD have been made and only if the administrative deadline allows it.

Documents Required for the Application:

- The application form (annex)
- The publications that will constitute the doctoral thesis.
- A report from the dissertation supervisor indicating the suitability of presenting the dissertation as a compendium of publications.
- A report from the doctoral candidate on the compendium format, including the impact factor of the journals or publishers in which the articles and/or book chapters are published, as well as the quality indicators of the publications.
- According to the general regulations of the UAB, the publications included in a dissertation by compendium must be previously deposited in the DDD (Digital Document Repository): <https://www.uab.cat/ca/ciencia-oberta/tesis-per-compendi>.

If there are publications includes works published with restricted access, the corresponding chapter or section must be replaced by the

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bibliographic reference of the work that contains the maximum available information and the publication's identifying code (ISBN, ISSN, DOI) in the copy submitted for the Doctoral School deposit process and published in the institutional repositories (UAB, TDX and TESEO), unless authorization for publication has been obtained from the publisher or the works are open-access publications. The copies sent to the members of the examination committee include the full text of the articles.

If any of the articles/book chapters has not yet been published but has been accepted, it may be included in the compendium provided that documentation certifying its acceptance by the journal is submitted to the doctoral program committee.

Regarding the DDD and the articles or chapters that form part of a compendium thesis which have been accepted but not yet published in a journal or book, the libraries publish them under embargo until their publication. Once they have been published in the journal or book, you must notify Scientific Production Management at the Humanities Library so that the data in the DDD record can be updated and the version permitted by the copyright holder can be made openly available (usually the publisher's version or the accepted version).

The application should preferably be signed using an electronic certificate. If this is not possible, the document must be printed and signed manually. After signing, it must be attached to the application as a scanned document, and the original must be sent by postal mail or delivered to the UISAD of the Department.

### **The following cannot be included:**

- Textbooks, syllabi, lecture notes, cases, or practical exercises primarily intended as teaching materials.
- Professional books that cannot be considered research works due to the absence of verifiable original contributions related to the topic addressed.
- Popular science books and articles, as well as articles published in general-interest magazines.
- Text editions or translations, unless they are considered of exceptional relevance or are preceded by prologues or preliminary studies, or accompanied by annotations resulting from personal research that represent a significant contribution to the field.
- Purely compilation review articles that contain no original contribution, even if published in indexed journals.

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- The contributions must consist of original research and must align with the objectives of the doctoral candidate's research plan. Under no circumstances may they be dissemination articles or reviews.
- The journal or book publisher in which the doctoral candidate publishes must be external to the UAB. The editors of the book or members of the editorial board of the journal in which the article is published may not belong to the doctoral candidate's research group.
- These types of works may instead be included as appendices. Furthermore, the articles, book chapters, or books that constitute the doctoral dissertation may not be submitted as part of more than one dissertation.

Approved by the Academic Committee of the Doctoral Program in Philosophy, March 11, 2026.