UAB
Universitat Autònoma
de Barcelona
Doctorat en Educació

Review and approval at the meeting of the Academic Committee of the PhD Programme in Education (25 October 2023)

CRITERIA FOR ARTICLE-BASED THESES

An article-based thesis must comprise at least three publications and meet the following criteria:

- There must be **3 accepted publication** (duly documented) that are closely related to the thesis.

- The publications must be published or accepted for publication after the date of registration of the thesis project.

- Two of the publications may be multi-authored (up to four authors), as long as the PhD candidate appears as the *primary author*.

- Two of the publication must be published/accepted for publication in recognised journals, these being journals accepted as indexed in the Journal Citation Reports (Social Sciences Edition), the Journal Citation Reports (Sciences Edition) the Web of Science and those included in the list of SCOPUS, Scimago Journal Rank (SJR) and in the Arts and Humanities Citation Index of the web of Science[i]

- One of the publications may be a book chapter published/accepted for publication by a recognised publisher with a selective procedure for the acceptance of originals in line with the system of the Scholarly Publishers Indicators (SPI) and have obtained a good position. A good SPI position is considered to be one in the first quartile of Spanish publishers and the first or second quartile in the case of foreign publishers.

- The publications will not be reviews, communications or abstracts published as conference proceedings in books or journals.

- The publication may not have been used in previous theses.

- All articles must contain a **reference to the UAB as an affiliation** of the supervisor or PhD candidate, either in the acknowledgements or in a footnote with the wording "*This work has been produced as part of the PhD programme in Education at the Universitat Autònoma de Barcelona*".

- From the 2024-2025 academic year article-based theses must be published in open access in the Digital Document Deposit of the UAB, in accordance with the instructions on the following website:

  https://www.uab.cat/web/research/open-access-uab/theses-articles-by-compendium-1345867555181.html

BASIC STRUCTURE OF THE CONTENT OF AN ARTICLE-BASED THESIS
>Introduction to the topic of study
>Objectives to be achieved
>Presentation of the research carried out (theoretical references and methodological justification)
>Publications making up the article component of the thesis
>Summary and discussion of the results
>Conclusions
>Bibliography
>Annexes (where necessary)

PROCEDURE FOR DEPOSITING AN ARTICLE-BASED THESIS
The procedure for depositing the thesis is the same as that for an ordinary thesis.
https://www.uab.cat/web/studies/phds/online-thesis-deposit-1345799089208.html

One of the documents to be included in the deposit is the acceptance by the of the article-based thesis.

Prior to registering the deposit a committee must be constituted, made up of the coordinator of the area of the thesis being presented, one lecturer from the academic committee of the programme and the coordinator of the PhD Programme in Education, who will review the documents presented by the PhD candidate.

The PhD candidate must send the following documents in a single mail to the coordinator (doctorat.educacio@uab.cat) at least one month before the deposit:

- Application to the Academic Committee of the PhD Programme with details of the indexing of the publications and all other information they consider relevant to justify compliance with the criteria.

- A copy of the publications.

- Letter of acceptance from the journal or publisher if they have not already been published.

- A document containing:
  o Written permission by the co-authors of the publications for the PhD candidate to present the work as part of the thesis.
  o Written agreement by the co-authors who do not hold a PhD not to present the work as part of another thesis.