

Presentation of the Doctoral Thesis as a Compendium of Publications

The **Doctoral Program in Microbiology** at the Autonomous University of Barcelona has specific regulations regarding the presentation of a doctoral thesis as a Compendium of Publications. These regulations complement and expand the UAB doctoral regulatory framework (RD99-2011) and are mandatory.

Requirements

The presentation of the doctoral thesis as a Compendium of Publications in the PhD Program in Microbiology must fulfill the following conditions:

- a) **A minimum of two original research articles.** The articles must be related to the topic presented in the Research Plan of the Thesis as approved by the Academic Committee of the Doctoral Program.
- b) The articles must have been **published**. If an article has been **accepted**, but has **not yet been published**, it will be equally valid as long as a formal proof of acceptance is provided. In all cases, the date of publication or acceptance of the articles must be subsequent to the date of admission in the doctoral program.
- c) Neither the original articles that make up the doctoral thesis nor their results may have been used in previous doctoral theses
- d) The doctoral student must be first or second author of the articles.
- e) The sum of the impact factor of two of the original articles published must be equal to or greater than 4 or, failing that, these articles must be published in journals of the first quartile of their category ("subject category" *Web of Science*). If a journal is included in more than one category, the most favorable category position will be used.
- f) Publications must include the name of the UAB, through affiliation to a UAB center or department.

If the doctoral candidate is not affiliated to the Autonomous University of Barcelona, the signature must state that the publication has been made in the context of the UAB Doctoral Program in Microbiology.

Procedure

A request for a compendium must be submitted to the Secretary of the Department of Genetics and Microbiology, addressed to the Academic Committee of the Doctoral Program in Microbiology, attaching the following documentation:

- **Report signed by the thesis supervisors and tutor**, indicating the suitability of the thesis to be presented as a compendium of publications. The report must indicate the contributions of the thesis as well as the relevance of the results obtained.
- **Copy of the contributions published or accepted for publication. Minimum 2.**
- **Copy of other contributions, not yet accepted, that will be included in the thesis.**

- **Acceptance**, in writing, **of all co-authors** of the contributions that will be included in the thesis, together with the **waiver of non-doctors** to use these contributions, or the results they contain, as part of their doctoral thesis.
- In the case of contributions published in journals that are not open access, it will be necessary to present a **document signed by the thesis supervisors**, authorizing **to replace these articles with their bibliographic references** in the public version of the thesis. *

The **compendium request** must include the **complete bibliographic references**, indicating the **month** and **year of publication**, as well as the **impact factor** and the **quartile**, established by the Web of Science for the year of publication or for the latest year available. The document must be accompanied by a **printout of the Web of Science** in which the impact factor and the quartile of the publications are clearly visible. Written contributions not yet accepted for publication but included in the thesis as "Other Contributions" must also be part of the compendium request.

The Academic Committee of the Doctoral Program will resolve the request within a maximum period of one month. The resolution will be communicated by email. Once a favorable resolution has been received, the thesis can be deposited in the format of a Compendium of Publications.

Format of the thesis presented as Compendium of Publications

The theses presented as compendium of publications contain the following sections:

- Summary
- Introduction
- Publications

This section includes the full, published or accepted version of each paper, including its bibliographic reference.
- Other contributions

Optionally, this section may include those papers already written, but not yet accepted for publication.
- Summary and global discussion of the results
- Conclusions

Additional remarks

Neither the publications and other contributions that appear in the doctoral thesis, nor the results they contain, **may be part of another thesis**.

Contributions included in the "**Other works**" section must **meet** conditions **c**, **d** and **f** of this regulation and **require** both, the **acceptance**, and the **waiver** of the **co-authors** to use the results in their doctoral thesis.

None of the co-authors of the contributions presented may be part of the defense tribunal of the doctoral thesis.

* For the purpose of publishing the doctoral thesis in the Tesis Doctorals in Xarxa (TDX) repository and in the UAB Digital Repository of Documents (DDD), whenever a "Copyright Transfer Agreement" has been signed with the journal's publisher that does not allow free

publication of the article in the web, the digital archive of the thesis should have each article replaced by its bibliographic reference.